

# Bi-unique range sets with smallest cardinalities for the derivatives of meromorphic functions

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## Abstract

Inspired by the advent of bi-unique range sets [2], we obtain a new bi-unique range sets, with smallest cardinalities ever for the derivatives of meromorphic functions which improves all the results obtained so far in some sense including a result of Banerjee-Bhattacharjee [4]. Furthermore at the last section we pose an open question for future research.

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## 1 Introduction, definitions and results

Let  $\overline{\mathbb{C}} = \mathbb{C} \cup \{\infty\}$ , where  $\mathbb{C}$  denotes the set of all complex numbers. In the paper by any meromorphic function  $f$  we always mean it is defined on  $\mathbb{C}$ . Here we consider standard notations of Nevanlinna theory as explained in [8]. For any non-constant meromorphic function  $h(z)$  we define  $S(r, h)$  by  $S(r, h) = o(T(r, h))$ , ( $r \rightarrow \infty, r \notin E$ ) where  $E$  denotes any set of positive real number having finite linear measure.

It is well-known to all of us that Gross is the trailblazer of the value sharing problem to the set sharing problem. Hence we have the following definition in the literature.

**Definition 1.1.** Let for a non constant meromorphic function  $f$  and  $S \subset \overline{\mathbb{C}}$ ,  $E_f(S) = \bigcup_{a \in S} \{(z, p) \in \mathbb{C} \times \mathbb{N} : f(z) = a \text{ with multiplicity } p\}$  ( $\overline{E}_f(S) = \bigcup_{a \in S} \{(z, 1) \in \mathbb{C} \times \mathbb{N} : f(z) = a\}$ ), then we say  $f, g$  share the set  $S$  CM(IM) if  $E_f(S) = E_g(S)$  ( $\overline{E}_f(S) = \overline{E}_g(S)$ ).

In 2001 Lahiri ([10], [11]) introduced the following notion of scalings between CM and IM which further add essence to the uniqueness literature.

**Definition 1.2** ([10], [11]). Let  $k$  be a nonnegative integer or infinity. For  $a \in \overline{\mathbb{C}}$  we denote by  $E_k(a; f)$  the set of all  $a$ -points of  $f$ , where an  $a$ -point of multiplicity  $m$  is counted  $m$  times if  $m \leq k$  and  $k + 1$  times if  $m > k$ . If  $E_k(a; f) = E_k(a; g)$ , we say that  $f, g$  share the value  $a$  with weight  $k$ .

We write  $f, g$  share  $(a, k)$  to mean that  $f, g$  share the value  $a$  with weight  $k$ . Clearly if  $f, g$  share  $(a, k)$  then  $f, g$  share  $(a, p)$  for any integer  $p, 0 \leq p < k$ . Also we note that  $f, g$  share a value a IM or CM if and only if  $f, g$  share  $(a, 0)$  or  $(a, \infty)$  respectively.

**Definition 1.3.** [10] For  $S \subset \overline{\mathbb{C}}$  we define  $E_f(S, k) = \bigcup_{a \in S} E_k(a; f)$ , where  $k$  is a non-negative integer  $a \in S$  or infinity. Clearly  $E_f(S) = E_f(S, \infty)$  and  $\overline{E}_f(S) = E_f(S, 0)$

In 1977, Gross [7] posed his famous question related to the uniqueness of entire functions sharing sets. In connection to that the following question regarding the uniqueness of meromorphic functions was asked.

**Question A** ([18], [19]). Can one find two finite sets  $S_j$  ( $j = 1, 2$ ) such that any two non-constant meromorphic functions  $f$  and  $g$  satisfying  $E_f(S_j, \infty) = E_g(S_j, \infty)$  for  $j = 1, 2$  must be identical ?

Germane to the Question A, in 1996 Li-Yang[14] provided  $S_1$  with 1 element and  $S_2$  with 15 elements such that any two non-constant meromorphic functions  $f$  and  $g$  satisfying  $E_f(S_j) = E_g(S_j)$  for  $j = 1, 2$  must be identical.

Later on Fang-Guo [6] improved the above result introducing such two sets where  $S_1$  contains 1 element and  $S_2$  contains 9 elements.

Lastly in 2002 Yi [18] improved all these results introducing  $S_1$  with 1 element and  $S_2$  with 8 elements.

Recently the present first author [1] improved the result of Yi [18] by relaxing the nature of sharing the range sets under the aegis of weighted sharing. He established that there exist two finite sets  $S_1$  containing 1 element and  $S_2$  containing 8 elements such that any two non-constant meromorphic functions  $f$  and  $g$  satisfying  $E_f(S_1, 0) = E_g(S_1, 0)$  and  $E_f(S_2, 2) = E_g(S_2, 2)$  must be identical.

Later on in order to reduce the cardinality of  $S_2$  the research in this particular set up has somehow been shifted to-wards considering the derivatives of meromorphic functions sharing one or two sets. Below we are recalling some results.

**Theorem A.** [19] Let  $S_1 = \{\infty\}$  and  $S_2 = \{z : z^n + az^{n-1} + b = 0\}$ , where  $a, b$  are nonzero constants such that  $z^n + az^{n-1} + b = 0$  has no repeated root and  $n (\geq 7)$ ,  $k$  be two positive integers. Let  $f$  and  $g$  be two non-constant meromorphic functions such that  $E_f(S_1) = E_g(S_1)$  and  $E_{f^{(k)}}(S_2) = E_{g^{(k)}}(S_2)$  then  $f^{(k)} \equiv g^{(k)}$ .

In 2010, Banerjee-Bhattacharjee [3] proved the following two theorems which improved the above results.

**Theorem B.** [3] Let  $S_i$ ,  $i = 1, 2$  and  $k$  be given as in *Theorem A*. Let  $f$  and  $g$  be two non-constant meromorphic functions such that  $E_f(S_1, 1) = E_g(S_1, 1)$  and  $E_{f^{(k)}}(S_2, 2) = E_{g^{(k)}}(S_2, 2)$  then  $f^{(k)} \equiv g^{(k)}$ .

**Theorem C.** [3] Let  $S_i$ ,  $i = 1, 2$  be given as in *Theorem A*. Let  $f$  and  $g$  be two non-constant meromorphic functions such that  $E_f(S_1, 0) = E_g(S_1, 0)$  and  $E_{f^{(k)}}(S_2, 3) = E_{g^{(k)}}(S_2, 3)$  then  $f^{(k)} \equiv g^{(k)}$ .

In 2011, Banerjee-Bhattacharjee [4] further improved the above results in the following manner.

**Theorem D.** [4] Let  $S_i$ ,  $i = 1, 2$  and  $k$  be given as in *Theorem A*. Let  $f$  and  $g$  be two non-constant meromorphic functions such that  $E_f(S_1, 0) = E_g(S_1, 0)$  and  $E_{f^{(k)}}(S_2, 2) = E_{g^{(k)}}(S_2, 2)$  then  $f^{(k)} \equiv g^{(k)}$ .

Observe that in *Theorems A-D*, one set contains  $n$  elements where as the other set contains only  $\infty$  and then the authors tried to reduce the value of  $n$  as much as possible.

Under such circumstances, patently the following question steps into the literature.

**Question B.** Is it possible to obtain better result for *Question A* considering two sets in  $\mathbb{C}$ ?

In this perspective the introduction of Bi-Unique range sets can be thought of as the inception of a new direction in set sharing problem. Below we recall the definition.

**Definition 1.4.** [2] A pair of finite sets  $S_1$  and  $S_2$  in  $\mathbb{C}$  is called bi unique range sets for meromorphic (entire) functions with weights  $m, k$  if for any two non-constant meromorphic (entire) functions  $f$  and  $g$ ,  $E_f(S_1, m) = E_g(S_1, m)$ ,  $E_f(S_2, k) = E_g(S_2, k)$  implies  $f \equiv g$ . We write  $S_i$ 's  $i = 1, 2$  as BURSM $m, k$  (BURSEM,  $k$ ) in short. As usual if both  $m = k = \infty$ , we say  $S_i$ 's  $i = 1, 2$  as BURSM (BURSE).

In apt to this we recall the following theorem of H.X.Yi [17] which is most probably the first BURSM prior to its introduction.

**Theorem E.** [17] Let  $S_1 = \{a + b, a + b\omega, \dots, a + b\omega^{n-1}\}$ ,  $S_2 = \{c_1, c_2\}$  where  $\omega = e^{\frac{2\pi i}{n}}$  and  $b \neq 0$ ,  $c_1 \neq a$ ,  $c_2 \neq a$ ,  $(c_1 - a)^n \neq (c_2 - a)^n$ ,  $(c_k - a)^n (c_j - a)^n \neq b^{2n}$  ( $k, j = 1, 2$ ) are constants. If  $n \geq 9$  then  $S_i$ 's  $i = 1, 2$  are BURSM.

Afterwards in 2012 Yi and Li [13] improved the above theorem providing the following result.

**Theorem F.** [16] Let  $S_1 = \{0, 1\}$ ,  $S_2 = \left\{z : \frac{(n-1)(n-2)}{2}z^n - n(n-2)z^{n-1} + \frac{n(n-1)}{2}z^{n-2} + 1 = 0\right\}$ , where  $n(\geq 5)$  is an integer. Then  $S_i$ 's  $i = 1, 2$  are BURSM.

The above result is obviously better than all the results discussed so far in the direction of *Question A*. So *Theorem F* provides the affirmative answer of *Question B* and enriches the notion of BURSM.

Observe that the set  $S_1$  in *Theorem F* is nothing but the set of zeros of the derivatives of the polynomial whose zeros are used to form the set  $S_2$ . With the help of this inherited property the first author tried to generalize the polynomial used to form  $S_2$  of *Theorem F* and obtain the following result.

**Theorem G.** [2] Let  $S_1 = \{0, 1\}$ ,  $S_2 = \left\{z : \frac{(n-1)(n-2)}{2}z^n - n(n-2)z^{n-1} + \frac{n(n-1)}{2}z^{n-2} - c = 0\right\}$ , where  $n(\geq 5)$  is an integer and  $c \neq 0, 1, \frac{1}{2}$  is a complex number such that  $c^2 - c + 1 \neq 0$ . Then  $S_i$ 's  $i = 1, 2$  are BURSM1, 3, BURSM3, 2.

Clearly *Theorem G* directly improves *Theorem F*. Notice that the polynomial used in *Theorems F - G* are of the same type. Recently to-wards finding different BURSM, present authors proved the following theorem with a different type of polynomial.

**Theorem H.** [5] Let  $S_1 = \{0, c_1, c_2\}$ ,  $S_2 = \{z : z^5 + az^3 + b = 0\}$  where  $a$  and  $b$  be two nonzero constants such that  $z^5 + az^3 + b = 0$  has no multiple root. If  $E_f(S_1, p) = E_g(S_1, p)$ , and  $E_f(S_2, m) = E_g(S_2, m)$ , with  $2p(4m - 9) > 15$ , then  $f \equiv g$ .

If we minutely delve into the construction of BURSM's used in *Theorems F - H* then we see that the underlying polynomial whose zeros are forming  $S_2$  is the backbone of a BURSM and  $S_1$  is the collection of all the zeros of derivative of the polynomial whose zeros generate  $S_2$ . Also we note that in *Theorems F - H* the cardinality of the second set could not further be diminished rather for the variation of the polynomial corresponding to  $S_2$  the cardinality of  $S_1$  increases even if the cardinality of  $S_2$  remains the same. Naturally the following two questions comes in mind in terms of BURSM concerning the improvements of all the above results.

**Question 1.1.** Is it possible to further reduce the cardinality as well as relax the nature of sharing the set  $S_2$  ?

**Question 1.2.** Is there any compulsion to consider all the zeros of derivative of the underlying polynomial to form  $S_1$  ?

In this paper we shall show that if we consider the derivatives of the meromorphic function instead of the original function as used in *Theorems A-D* then we can answer *Question 1.1* and *1.2*. We have the next theorem as the main result of this paper which is also the best result ever obtained till today in terms of BURSM for a special class of meromorphic function. Henceforth throughout the paper for an integer  $n$  and a non-zero constant  $a$ , let us denote  $-a\frac{n-1}{n}$  by  $c_1$ .

**Theorem 1.1.** Let  $S_1 = \{0\}$ ,  $S_2 = \{z : z^n + az^{n-1} + b = 0\}$ , where  $n(\geq 4)$  be an integer and  $a$  and  $b$  be two nonzero constants such that  $z^n + az^{n-1} + b$  has no multiple zero. If for two non constant meromorphic functions  $f$  and  $g$ , with  $f^{(k)}$  and  $g^{(k)}$  having no simple  $c_1$  points;  $E_{f^{(k)}}(S_1, 1) = E_{g^{(k)}}(S_1, 1)$  and  $E_{f^{(k)}}(S_2, 2) = E_{g^{(k)}}(S_2, 2)$ , then  $f^{(k)} \equiv g^{(k)}$ .

The following example shows that in *Theorem 1.1*  $a \neq 0$  is necessary.

**Example 1.1.** Let  $f(z) = \sqrt[4]{-b} e^z$  and  $g(z) = (-1)^k \sqrt[4]{-b} e^{-z}$  and  $S_1 = \{0\}$ ,  $S_2 = \{z : z^4 + b = 0\}$ . Then  $f^{(k)}, g^{(k)}$  share  $(S_i, \infty)$ ,  $i = 1, 2$  but  $f^{(k)} \not\equiv g^{(k)}$ .

The next example shows that  $S_2$  of *Theorem 1.1* can not be replaced by any arbitrary set containing 4 elements.

**Example 1.2.** Let  $S_1 = \{0\}$  and  $S_2 = \{i, -1, -i, 1\}$ . Then for the functions  $f = ie^z$  and  $g = -e^z$  we have  $f^{(k)}, g^{(k)}$  share  $(S_i, \infty)$ ,  $i = 1, 2$  but  $f^{(k)} \not\equiv g^{(k)}$ .

Though for the standard definitions and notations of the value distribution theory we refer to [8], we now explain some notations which are frequently used in the paper.

**Definition 1.5.** [9] For  $a \in \mathbb{C} \cup \{\infty\}$  we denote by  $N(r, a; f | = 1)$  the counting function of simple  $a$  points of  $f$ . For a positive integer  $m$  we denote by  $N(r, a; f | \leq m)$  ( $N(r, a; f | \geq m)$ ) the counting function of those  $a$  points of  $f$  whose multiplicities are not greater (less) than  $m$  where each  $a$  point is counted according to its multiplicity.

$\overline{N}(r, a; f | \leq m)$  ( $\overline{N}(r, a; f | \geq m)$ ) are defined similarly, where in counting the  $a$ -points of  $f$  we ignore the multiplicities.

Also  $N(r, a; f | < m)$ ,  $N(r, a; f | > m)$ ,  $\overline{N}(r, a; f | < m)$  and  $\overline{N}(r, a; f | > m)$  are defined analogously.

**Definition 1.6** ([10], [11]). Let  $f, g$  share a value  $a$  IM. We denote by  $\overline{N}_*(r, a; f, g)$  the reduced counting function of those  $a$ -points of  $f$  whose multiplicities differ from the multiplicities of the corresponding  $a$ -points of  $g$ . Clearly  $\overline{N}_*(r, a; f, g) \equiv \overline{N}_*(r, a; g, f)$  and in particular if  $f$  and  $g$  share  $(a, p)$  then  $\overline{N}_*(r, a; f, g) \leq \overline{N}(r, a; f | \geq p + 1) = \overline{N}(r, a; g | \geq p + 1)$ .

**Definition 1.7.** Let  $a, b_1, b_2, \dots, b_q \in \mathbb{C} \cup \{\infty\}$ . We denote by  $N(r, a; f | g \neq b_1, b_2, \dots, b_q)$  the counting function of those  $a$ -points of  $f$ , counted according to multiplicity, which are not the  $b_i$ -points of  $g$  for  $i = 1, 2, \dots, q$ .

## 2 Lemmas

In this section we present some lemmas which will be needed in the sequel. Let  $F$  and  $G$  be two non-constant meromorphic functions defined in  $\mathbb{C}$  as follows.

$$F = \frac{P(f^{(k)})}{-b} = \frac{(f^{(k)})^{n-1}(f^{(k)} + a)}{-b}, \quad G = \frac{P(g^{(k)})}{-b} = \frac{(g^{(k)})^{n-1}(g^{(k)} + a)}{-b}, \quad (2.1)$$

where  $n(\geq 2)$  and  $k$  are two positive integers and for a meromorphic function  $h$  we put  $P(h) = (h)^n + a(h)^{n-1}$ . Henceforth we shall denote by  $H$  and  $\Phi$  the following two functions

$$H = \left( \frac{F''}{F'} - \frac{2F'}{F-1} \right) - \left( \frac{G''}{G'} - \frac{2G'}{G-1} \right) \quad (2.2)$$

and

$$\Phi = \frac{F'}{F-1} - \frac{G'}{G-1}. \quad (2.3)$$

**Lemma 2.1.** ([11], Lemma 1) Let  $F, G$  be two non-constant meromorphic functions sharing  $(1, 1)$  and  $H \not\equiv 0$ . Then

$$N(r, 1; F | = 1) = N(r, 1; G | = 1) \leq N(r, H) + S(r, F) + S(r, G).$$

**Lemma 2.2.** Let  $S_1$  and  $S_2$  be defined as in *Theorem 1.1* and  $F, G$  be given by (2.1). If for two non-constant meromorphic functions  $f$  and  $g$ ,  $E_{f^{(k)}}(S_1, p) = E_{g^{(k)}}(S_1, p)$ ,  $E_{f^{(k)}}(S_2, 0) = E_{g^{(k)}}(S_2, 0)$ , where  $0 \leq p < \infty$  and  $H \not\equiv 0$  then

$$\begin{aligned} N(r, H) &\leq \overline{N}(r, 0; f^{(k)} | \geq p+1) + \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) \\ &\quad + \overline{N}_*(r, 1; F, G) + \overline{N}_0(r, 0; F') + \overline{N}_0(r, 0; G'), \end{aligned}$$

where  $\overline{N}_0(r, 0; F')$  is the reduced counting function of those zeros of  $F'$  which are not the zeros of  $f^{(k)}(F-1)$  and  $\overline{N}_0(r, 0; G')$  is similarly defined.

*Proof.* We note that  $F' = \frac{(f^{(k)})^{n-2}(nf^{(k)} + a(n-1))f^{(k+1)}}{-b}$ ,  $G' = \frac{(g^{(k)})^{n-2}(ng^{(k)} + a(n-1))g^{(k+1)}}{-b}$  and

$$\begin{aligned} F'' &= \frac{(f^{(k)})^{n-2}(nf^{(k)} + a(n-1))f^{(k+2)} + (f^{(k)})^{n-3}(n(n-1)f^{(k)} + a(n-1)(n-2))(f^{(k+1)})^2}{-b}, \\ G'' &= \frac{(g^{(k)})^{n-2}(ng^{(k)} + a(n-1))g^{(k+2)} + (g^{(k)})^{n-3}(n(n-1)g^{(k)} + a(n-1)(n-2))(g^{(k+1)})^2}{-b}. \end{aligned}$$

So

$$\begin{aligned} H &= \frac{(n-1)(nf^{(k)} + a(n-2))f^{(k+1)}}{f^{(k)}(nf^{(k)} + a(n-1))} - \frac{(n-1)(ng^{(k)} + a(n-2))g^{(k+1)}}{g^{(k)}(ng^{(k)} + a(n-1))} \\ &\quad + \frac{f^{(k+2)}}{f^{(k+1)}} - \frac{g^{(k+2)}}{g^{(k+1)}} - \left( \frac{2F'}{F-1} - \frac{2G'}{G-1} \right). \end{aligned}$$

Clearly  $F$  and  $G$  share  $(1, 0)$ . Since  $H$  has only simple poles, the lemma can easily be proved by simple calculation. Q.E.D.

**Lemma 2.3.** [4] Let  $f$  and  $g$  be two meromorphic functions sharing  $(1, m)$ , where  $1 \leq m < \infty$ . Then

$$\overline{N}(r, 1; f) + \overline{N}(r, 1; g) - N(r, 1; f | = 1) + \left(m - \frac{1}{2}\right) \overline{N}_*(r, 1; f, g) \leq \frac{1}{2} [N(r, 1; f) + N(r, 1; g)].$$

**Lemma 2.4.** [15] Let  $f$  be a non-constant meromorphic function and let

$$R(f) = \frac{\sum_{k=0}^n a_k f^k}{\sum_{j=0}^m b_j f^j}$$

be an irreducible rational function in  $f$  with constant coefficients  $\{a_k\}$  and  $\{b_j\}$  where  $a_n \neq 0$  and  $b_m \neq 0$ . Then

$$T(r, R(f)) = dT(r, f) + S(r, f),$$

where  $d = \max\{n, m\}$ .

**Lemma 2.5.** Let  $S_1$  and  $S_2$  be defined as in *Theorem 1.1* and  $F, G$  be given by (2.1). If for two non-constant meromorphic functions  $f$  and  $g$ ,  $E_{f^{(k)}}(S_1, p) = E_{g^{(k)}}(S_1, p)$ ,  $E_{f^{(k)}}(S_2, m) = E_{g^{(k)}}(S_2, m)$ ,  $0 \leq p < \infty$  and  $\Phi \not\equiv 0$  then

$$\begin{aligned} & (3p+2) \left\{ \overline{N}(r, 0; f^{(k)} | \geq p+1) \right\} \\ & \leq \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) + \overline{N}_*(r, 1; F, G) + S(r, f^{(k)}) + S(r, g^{(k)}). \end{aligned}$$

*Proof.* By the given condition clearly  $F$  and  $G$  share  $(1, m)$ . Also we see that

$$\Phi = \frac{(f^{(k)})^{n-2} (n f^{(k)} + a(n-1)) f^{(k+1)}}{-b(F-1)} - \frac{(g^{(k)})^{n-2} (n g^{(k)} + a(n-1)) g^{(k+1)}}{-b(G-1)}.$$

Let  $z_0$  be a zero of  $f^{(k)}$  with multiplicity  $r$ . Since  $E_{f^{(k)}}(S_1, p) = E_{g^{(k)}}(S_1, p)$  then that would be a zero of  $\Phi$  of multiplicity  $(n-2)r + r - 1$  i.e., of multiplicity  $(n-1)r - 1$  if  $r \leq p$  and a zero of multiplicity at least  $(n-2)(p+1) + p$  i.e., a zero of multiplicity at least  $(n-1)p + (n-2) \geq 3p+2$  if  $r > p$ . So by a simple calculation we can write

$$\begin{aligned} & \{3p+2\} \left\{ \overline{N}(r, 0; f^{(k)} | \geq p+1) \right\} \\ & \leq N(r, 0; \Phi) \\ & \leq T(r, \Phi) \\ & \leq N(r, \infty; \Phi) + S(r, F) + S(r, G) \\ & \leq \overline{N}_*(r, 1; F, G) + \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) + S(r, f^{(k)}) + S(r, g^{(k)}). \end{aligned}$$

Q.E.D.

**Lemma 2.6.** Let  $S_1, S_2$  be defined as in *Theorem 1.1* and  $F, G$  be given by (2.1). If for two non-constant meromorphic functions  $f$  and  $g$ , with  $f^{(k)}$  and  $g^{(k)}$  having no simple  $c_1$  points;  $E_{f^{(k)}}(S_1, p) = E_{g^{(k)}}(S_1, p)$ ,  $E_{f^{(k)}}(S_2, m) = E_{g^{(k)}}(S_2, m)$ , where  $0 \leq p < \infty$ ,  $2 \leq m < \infty$  and  $H \neq 0$ , then

$$\begin{aligned} & n \{T(r, f^{(k)}) + T(r, g^{(k)})\} \\ & \leq 2\overline{N}(r, 0; f^{(k)}) + \overline{N}(r, 0; f^{(k)} \mid \geq p+1) + 2\{\overline{N}(r, \infty; f) + \overline{N}(r, \infty; g)\} \\ & \quad + \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] - \left(m - \frac{3}{2}\right) \overline{N}_*(r, 1; F, G) + S(r, f^{(k)}) + S(r, g^{(k)}). \end{aligned}$$

*Proof.* By the second fundamental theorem we get

$$\begin{aligned} & n\{T(r, f^{(k)}) + T(r, g^{(k)})\} \\ & \leq \overline{N}(r, 1; F) + \overline{N}(r, 0; f^{(k)}) + \overline{N}(r, \infty; f) + \overline{N}(r, 1; G) \\ & \quad + \overline{N}(r, 0; g^{(k)}) + \overline{N}(r, \infty; g) - N_0(r, 0; f^{(k+1)}) \\ & \quad - N_0(r, 0; g^{(k+1)}) + S(r, f^{(k)}) + S(r, g^{(k)}). \end{aligned} \tag{2.4}$$

Using *Lemmas 2.1, 2.2, 2.3* and *2.4* we note that

$$\begin{aligned} & \overline{N}(r, 1; F) + \overline{N}(r, 1; G) \\ & \leq \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] + N(r, 1; F \mid = 1) - \left(m - \frac{1}{2}\right) \overline{N}_*(r, 1; F, G) \\ & \leq \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] + \overline{N}(r, 0; f^{(k)} \mid \geq p+1) \\ & \quad + \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) - \left(m - \frac{3}{2}\right) \overline{N}_*(r, 1; F, G) + \overline{N}_0(r, 0; F') + \overline{N}_0(r, 0; G') \\ & \quad + S(r, f^{(k)}) + S(r, g^{(k)}). \end{aligned} \tag{2.5}$$

Using (2.5) in (2.4) and noting that  $N(r, c_1; f^{(k)} \mid = 1) = S(r, f^{(k)})$ ,  $\overline{N}(r, c_1; g^{(k)} \mid = 1) = S(r, g^{(k)})$  and  $\overline{N}(r, 0; f^{(k)}) = \overline{N}(r, 0; g^{(k)})$ , the lemma follows. Q.E.D.

**Lemma 2.7.** Let  $f, g$  be two non-constant meromorphic functions such that

$E_{f^{(k)}}(S_1, 0) = E_{g^{(k)}}(S_1, 0)$ . Then  $(f^{(k)})^{n-1} (f^{(k)} + a) \equiv (g^{(k)})^{n-1} (g^{(k)} + a)$  implies  $f^{(k)} \equiv g^{(k)}$ , where  $n (\geq 2)$  is an integer,  $k$  is a positive integer and  $a$  is a nonzero finite constant.

*Proof.* Since  $E_{f^{(k)}}(S_1, 0) = E_{g^{(k)}}(S_1, 0)$  and

$$\left(f^{(k)}\right)^{n-1} \left(f^{(k)} + a\right) \equiv \left(g^{(k)}\right)^{n-1} \left(g^{(k)} + a\right). \tag{2.6}$$

Therefore clearly from (2.6) we conclude that  $f^{(k)}$  and  $g^{(k)}$  share  $(0, \infty)$  and  $(\infty, \infty)$ . We also note that  $\Theta(\infty; f^{(k)}) + \Theta(\infty; g^{(k)}) \geq 2 - \frac{2}{k+1} = \frac{2k}{k+1} > 0$ . Now the lemma can be proved in the line of proof of *Lemma 3* [13]. Q.E.D.

**Lemma 2.8.** Let  $S_1, S_2$  be defined as in *Theorem 1.1*. If for two non-constant meromorphic function  $f$  and  $g$ ,  $E_{f^{(k)}}(S_1, 0) = E_{g^{(k)}}(S_1, 0)$ ,  $E_{f^{(k)}}(S_2, m) = E_{g^{(k)}}(S_2, m)$  where  $2 \leq m < \infty$  and  $\Phi \neq 0$ . Also let  $\omega_1, \omega_2 \dots \omega_n$  are the members of the set  $S_2$ . Then

$$\bar{N}_*(r, 1; F, G) \leq \frac{2}{2m-1} [\bar{N}(r, \infty; f) + \bar{N}(r, \infty; g)] + S(r, f^{(k)}) + S(r, g^{(k)}).$$

*Proof.* First we note that '0' is not a member of  $S_2$ . Therefore proceeding as follows with the help of *Lemma 2.5* for  $p = 0$  we get,

$$\begin{aligned} & \bar{N}_*(r, 1; F, G) \\ & \leq \bar{N}(r, 1; F | \geq m+1) \\ & \leq \frac{1}{m} (N(r, 1; F) - \bar{N}(r, 1; F)) \\ & \leq \frac{1}{m} \left[ \sum_{j=1}^n (N(r, \omega_j; f^{(k)}) - \bar{N}(r, \omega_j; f^{(k)})) \right] \\ & \leq \frac{1}{m} [N(r, 0; f^{(k+1)} | f^{(k)} \neq 0)] \\ & \leq \frac{1}{m} \left[ N\left(r, \infty; \frac{f^{(k)}}{f^{(k+1)}}\right) \right] \\ & \leq \frac{1}{m} \left[ N\left(r, \infty; \frac{f^{(k+1)}}{f^{(k)}}\right) \right] + S(r, f^{(k)}) \\ & \leq \frac{1}{m} [\bar{N}(r, 0; f^{(k)}) + \bar{N}(r, \infty; f)] + S(r, f^{(k)}) \\ & \leq \frac{1}{2m} [3\bar{N}(r, \infty; f) + \bar{N}(r, \infty; g) + \bar{N}_*(r, 1; F, G)] + S(r, f^{(k)}) + S(r, g^{(k)}), \end{aligned}$$

which clearly implies

$$\bar{N}_*(r, 1; F, G) \leq \frac{1}{2m-1} [3\bar{N}(r, \infty; f) + \bar{N}(r, \infty; g)] + S(r, f^{(k)}) + S(r, g^{(k)}). \quad (2.7)$$

Similarly, applying the above method for  $G$  instead of  $F$  we can obtain

$$\bar{N}_*(r, 1; F, G) \leq \frac{1}{2m-1} [3\bar{N}(r, \infty; g) + \bar{N}(r, \infty; f)] + S(r, f^{(k)}) + S(r, g^{(k)}). \quad (2.8)$$

Now adding (2.7) and (2.8) we get the desired result. Q.E.D.

### 3 Proof of the theorem

*Proof of Theorem 1.1.* Let  $F, G$  be given by (2.1). Then  $F$  and  $G$  share (1, 3). We consider the following cases.

**Case 1.** Suppose that  $\Phi \neq 0$ .

**Subcase 1.1.** Let  $H \neq 0$ . Then using *Lemma 2.6* for  $m = 2$ , *Lemma 2.5* for  $p = 0$  and  $p = 1$ ,



*Lemma 2.8* for  $m = 2$  and *Lemma 2.4* we obtain,

$$\begin{aligned}
& n \{T(r, f^{(k)}) + T(r, g^{(k)})\} \\
\leq & 2\overline{N}(r, 0; f^{(k)}) + \overline{N}(r, 0; f^{(k)} \geq 2) + 2\{\overline{N}(r, \infty; f) + \overline{N}(r, \infty; g)\} - \frac{1}{2}\overline{N}_*(r, 1; F, G) \\
& + \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] + S(r, f^{(k)}) + S(r, g^{(k)}) \\
\leq & \left\{1 + \frac{1}{5} + 2\right\} \{\overline{N}(r, \infty; f) + \overline{N}(r, \infty; g)\} + \left\{1 + \frac{1}{5} - \frac{1}{2}\right\} \overline{N}_*(r, 1; F, G) \\
& + \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] + S(r, f^{(k)}) + S(r, g^{(k)}) \\
\leq & \left\{\frac{16}{5} + \frac{14}{30}\right\} \{\overline{N}(r, \infty; f) + \overline{N}(r, \infty; g)\} + \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] + S(r, f^{(k)}) + S(r, g^{(k)}) \\
\leq & \left\{\frac{n}{2} + \frac{11}{3(k+1)}\right\} [T(r, f^{(k)}) + T(r, g^{(k)})] + S(r, f^{(k)}) + S(r, g^{(k)}),
\end{aligned}$$

which gives a contradiction for  $n \geq 4$ .

**Subcase 1.2** Let  $H \equiv 0$ . Then

$$\frac{1}{F-1} \equiv \frac{A}{G-1} + B, \quad (3.1)$$

where  $A(\neq 0)$ ,  $B$  are constants. Also  $T(r, F) = T(r, G) + O(1)$ . i.e.,

$$nT(r, f^{(k)}) = nT(r, g^{(k)}) + O(1). \quad (3.2)$$

We now consider the following cases.

**Subcase 1.2.1.**

Let  $B = 0$ . From (3.1) we get

$$\frac{1}{F-1} \equiv \frac{A}{G-1}.$$

i.e.,

$$G' \equiv AF'.$$

i.e.,

$$\Phi \equiv 0,$$

a contradiction.

**Subcase 1.2.2.**

If  $B \neq 0$  then

$$F-1 \equiv \frac{G-1}{BG+A-B}. \quad (3.3)$$

**Subcase 1.2.2.1.**

If  $A-B \neq 0$ , then from (3.3) we get

$$F-1 \equiv \frac{G-1}{B\left(G - \left(\frac{B-A}{B}\right)\right)}. \quad (3.4)$$

**Subcase 1.2.2.1.1.**

If  $g^{(k)} - c_1$  is a repeated factor of  $G - \frac{B-A}{B}$  then

$$(g^{(k)} - c_1)^2 \prod_{i=1}^{n-2} (g^{(k)} - \alpha_i) \equiv \frac{1}{B} \frac{G-1}{F-1},$$

where  $g^{(k)} - \alpha_i$ 's ( $i = 1, 2, \dots, n-2$ ) are the distinct simple factors of  $G - \frac{B-A}{B}$ . Since  $\frac{B-A}{B} \neq 1$  therefore  $c_1$  points and  $\alpha_i$  points of  $g^{(k)}$  are neutralised by the poles of  $f$ . Now if  $z_0$  is a zero of  $g^{(k)} - c_1$  of multiplicity  $p$ , then it would be pole of  $f^{(k)}$  of multiplicity  $q$  such that  $2p = nq \geq n(k+1)$ . Similarly for a zero of  $g^{(k)} - \alpha_i$  of multiplicity  $r$  is a pole of  $f^{(k)}$  of multiplicity  $s$  (say) we have  $r = ns \geq n(k+1)$ . So in view of the second fundamental theorem and (3.2) we get

$$(n-2)T(r, g^{(k)}) \leq \sum_{i=1}^{n-2} \bar{N}(r, \alpha_i; g^{(k)}) + \bar{N}(r, c_1; g^{(k)}) + \bar{N}(r, \infty; g) + S(r, g^{(k)})$$

i.e.,

$$(n-2)T(r, g^{(k)}) \leq \frac{(n-2)}{n(k+1)}T(r, g^{(k)}) + \frac{2}{n(k+1)}T(r, g^{(k)}) + \frac{1}{k+1}T(r, g^{(k)}) + S(r, g^{(k)}),$$

which gives a contradiction for  $n \geq 4$ .

**Subcase 1.2.2.1.2.** If  $(g^{(k)} - c_1)$  is not a factor of  $G - \frac{B-A}{B}$  then

$$\prod_{i=1}^n (g^{(k)} - \beta_i) \equiv \frac{1}{B} \frac{G-1}{F-1},$$

where  $g^{(k)} - \beta_i$ 's ( $i = 1, 2, \dots, n$ ) are the distinct simple factors of  $G - \frac{B-A}{B}$ . Clearly from above we get

$$\sum_{i=1}^n \bar{N}(r, \beta_i; g^{(k)}) = \bar{N}(r, \infty; f).$$

Again by the second fundamental theorem we get

$$\begin{aligned} (n-1)T(r, g^{(k)}) &\leq \sum_{i=1}^n \bar{N}(r, \beta_i; g^{(k)}) + \bar{N}(r, \infty; g) + S(r, g^{(k)}) \\ &\leq \bar{N}(r, \infty; f) + \bar{N}(r, \infty; g) + S(r, g^{(k)}), \end{aligned}$$

i.e., in view of (3.2)

$$\left(n-1 - \frac{2}{k+1}\right)T(r, g^{(k)}) \leq S(r, g^{(k)}),$$

which is a contradiction for  $n \geq 3$ .

**Subcase 1.2.2.2.**

If  $A - B = 0$ , then from (3.3) we get

$$\frac{B}{-b} \left(g^{(k)}\right)^{n-1} (g^{(k)} + a) \equiv \frac{G-1}{F-1}.$$

Using the similar argument as in *Subcase 1.2.2.1.1.* we get that zeros and ‘ $-a$ ’ points of  $g^{(k)}$  are neutralised by the poles of  $f$ . Also we have  $f^{(k)}, g^{(k)}$  share  $(0, 0)$  therefore from the above equation we get that 0 is an e.v.P. of  $g^{(k)}$  and

$$\overline{N}(r, -a; g^{(k)}) \leq \frac{1}{n(k+1)} T(r, f^{(k)}).$$

So by the second fundamental theorem and (3.2) we get

$$\begin{aligned} T(r, g^{(k)}) &\leq \overline{N}(r, -a; g^{(k)}) + \overline{N}(r, 0; g^{(k)}) + \overline{N}(r, \infty; g) + S(r, g^{(k)}) \\ &\leq \left\{ \frac{1}{n(k+1)} + \frac{1}{k+1} \right\} T(r, g^{(k)}) + S(r, g^{(k)}), \end{aligned}$$

a contradiction for  $n \geq 3$ .

**Case 2.** Suppose that  $\Phi \equiv 0$ . On integration we get

$$(F - 1) \equiv A(G - 1) \tag{3.5}$$

for some non-zero constant  $A$ . Here also in view of *Lemma 2.4*, (3.2) holds. Since by the given condition of the theorem  $E_f(S_1, 0) = E_g(S_1, 0)$  we consider the following subcases.

**Subcase 2.1.** Suppose  $A \neq 1$  then from (3.5) we get

$$\frac{F}{A} \equiv G + \frac{1-A}{A}. \tag{3.6}$$

Now let us consider the following subcases.

**Subcase 2.1.1.** Suppose  $G + \frac{1-A}{A}$  has  $n-2$  distinct zeros,  $\eta_i, i = 1, 2, \dots, n-2$  and a double zero at  $c_1$ . Then from (3.6) we get

$$\frac{(f^{(k)})^{n-1}(f^{(k)} + a)}{A} \equiv (g^{(k)} - c_1)^2 (g^{(k)} - \eta_1)(g^{(k)} - \eta_2) \dots (g^{(k)} - \eta_{n-2}). \tag{3.7}$$

Since  $f^{(k)}, g^{(k)}$  share  $(0, 0)$ , then from (3.7) ‘0’ is clearly an e.v.P of  $f^{(k)}$  and hence e.v.P. of  $g^{(k)}$ . So again from the second fundamental theorem we get

$$\begin{aligned} &(n-1)T(r, g^{(k)}) \\ &\leq \sum_{i=1}^{n-2} \overline{N}(r, \eta_i; g^{(k)}) + \overline{N}(r, c_1; g^{(k)}) + \overline{N}(r, 0; g^{(k)}) + \overline{N}(r, \infty; g) + S(r, g^{(k)}) \\ &\leq \overline{N}(r, -a; f^{(k)}) + \frac{1}{k+1} T(r, g^{(k)}) + S(r, g^{(k)}), \end{aligned}$$

which in view of (3.2) gives a contradiction for  $n \geq 3$ .

**Subcase 2.1.2** Suppose  $G + \frac{1-A}{A}$  has  $n$  distinct zeros,  $\xi_i, i = 1, 2, \dots, n$ . Then (3.5) takes the form

$$\frac{(f^{(k)})^{n-1}(f^{(k)} + a)}{A} \equiv (g^{(k)} - \xi_1)(g^{(k)} - \xi_2) \dots (g^{(k)} - \xi_n).$$

Similarly as above we can prove here that '0' is an e.v.P. of  $g^{(k)}$ . Then from the second fundamental theorem we get

$$\begin{aligned} & nT(r, g^{(k)}) \\ & \leq \sum_{i=1}^n \overline{N}(r, \xi_i; g^{(k)}) + \overline{N}(r, 0; g^{(k)}) + \overline{N}(r, \infty; g^{(k)}) + S(r, g^{(k)}) \\ & \leq \overline{N}(r, -a; f^{(k)}) + \frac{1}{k+1}T(r, g^{(k)}) + S(r, g^{(k)}), \end{aligned}$$

which in view of (3.2) gives a contradiction for  $n \geq 3$ .

**Subcase 2.2.** Suppose  $A = 1$  then we have  $F \equiv G$ , which in view of Lemma 2.7 implies  $f^{(k)} \equiv g^{(k)}$ .

Q.E.D.

## 4 Concluding remark and an open question

Theorem 1.1 shows that all the zeros of the derivatives of the underlying polynomial is not necessary to form  $S_1$ . Also Example 1.2 shows that  $S_2$  of Theorem 1.1 cannot be replaced by any arbitrary set containing 4 elements. Using the method adopted to prove Theorem 1.1 one can verify that for any underlying polynomial of a BURSM the lower bound of the degree of the polynomial cannot be reduced further. Therefore the following question is ineludible for the construction of BURSM.

**Question 4.1.** Does there exist any pair of Bi-Unique range sets, even if for a special class of meromorphic functions, sum of whose cardinalities are less than 5?

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